



大灣區深港證券有限公司 GREAT BAY SECURITIES LIMITED

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香港灣仔港灣道30號新鴻基中心25樓2526室 www.greatbaysec.com

Date 日期: _____

CCASS SETTLEMENT INSTRUCTION/中央結算交收指示

A/C Name 客戶姓名: _____ A/C No 戶口編號: _____ AE Code 經紀代號: _____

INSTRUCTION TYPE 類型指示 : **SI / IS** DOES COUNTERPARTY CLIENT INVOLVE IN NO CHANGE BENEFICIAL OWNERSHIP?
對手方客戶最終實益人是否為本人? YES是 NO否

PARTICIPANT ID/ ISI NO 參與者戶口或 ISI 編號 :

NAME OF COUNTERPARTY 參與者名稱 :

CONTACT PERSON 聯絡人 :

TEL NO
電話號碼:

COUNTERPARTY CLIENT NAME 對手方客戶名稱 :

COUNTERPARTY CLIENT A/C
對手方客戶賬號:

SETTLEMENT DATE *交收日期 :

STOCK CODE 股票代號	STOCK NAME 股票名稱	QUANTITY 股份數量	*R/D	*FOP/ DVP	#PURPOSE 目的	SI / ISI INPUT NO. (For internal use only) 指示輸入號碼

*R=RECEIVE 收取 D=DELIVER 交付 FOP= FREE OF PAYMENT 無須付款 DVP=DELIVER AGAINST PAYMENT 貨銀對付

#PURPOSE : C=BROKER-CUSTODIAN TRANSACTION 經紀與托管者之交易 M=PORTFOLIO MOVEMENT 組合轉移

P=STOCK PLEDGING 按股

L= STOCK BORROWING/LENDING 借股/貸股

*Settlement Time 交收時間 (Submission Date is T 提交日期為T) : 香港證券 Hong Kong Stocks T+2 環球證券 Global Stocks T+3

Client's Signature 客戶簽署

AE Signature 經紀簽署

FOR INTERNAL OFFICE USE	
SI FEE:	HANDLING:
S.V BY:	CHECKED BY:
INPUT BY:	